PTO/SB/21 (09-06)

PTO/SB/21 (09-06)

Approved for use through 03/3/12007. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| IPE | | Application Number | 09/586,722 |
|---|-----|------------------------|--------------------------|
| APR 1 9 2007 (to be used So all correspondence after initial filing) | | Filing Date | June 5, 2000 |
| | | First Named Inventor | Robert I.G. McLean |
| | | Art Unit | 3623 |
| | | Examiner Name | Catherine Michelle Tarae |
| The Pages in This Submission | 5 | Attorney Docket Number | 350725-991110 |
| | ENC | LOSUBES (Street of | 41-41-1 |

| | | <u>_</u> | | <u> </u> | | | | |
|--|--|---|-----------|---|-----------------------|-------|--|--|
| ENCLOSURES (Check all that apply) | | | | | | | | |
| \boxtimes | Fee Transmit | tal Form | | Drawing(s) | | | After A | Allowance Communication to TC |
| | Fee A | Attached | Licensing | Licensing-related Papers | ensing-related Papers | | | al Communication to Board peals and Interferences |
| | Extension of Express Abar Information D Certified Cop Document(s) Reply to Miss Incomplete A | Final avits/declaration(s) Time Request indonment Request disclosure Statement by of Priority sing Parts/ | Rem | Petition Petition to Convert to a Provisional Application Power of Attorney, Revoca Change of Correspondence Terminal Disclaimer Request for Refund CD, Number of CD(s) Landscape Table on | e Address | | Appea (Appea Propri Status Other below 1. Tra 2. No Exam Appea copy) | al Communication to TC al Notice, Brief, Reply Brief) etary Information a Letter Enclosure(s) (please Identify); ansmittal Form (1 pg.); tice of Appeal from the Primary iner to the Board of Patent als and Interferences (1pg.) (+1 |
| | | SIGNA | TURE | OF APPLICANT, ATT | ORNEY, C | R AG | ENT | |
| Firm N | lame DL | A Piper US LLP | | | | | | |
| Signat | ure | | | | | | | |
| Printed | d name Da | avid L. Alberti | | | | | | |
| Date | Ap | oril 6 , 2007 | | • | Reg. No. | 43,46 | 5 | |
| CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: | | | | | | | | |
| Signat | Signature Sosa a - Caville | | | | | | | |
| Typed | or printed name | | | | | | Date | April 16, 2007 |

PTO/SB/17 (01-06)
Approved for use through 07/31/2006. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

| | Fees pursuant to the Consolidated Approp | riations Act 2005 (H.R. 4818) | Complete If Known | | | |
|---|---|-------------------------------|--|--------------------------|--|--|
| | O'FEE TRANS | RAITTAI | Application Number | 09/586,722 | | |
| | | | Filing Date | June 5, 2000 | | |
| | APR 19 2007 \$ for FY 2 | 006 | First Named Inventor Robert I.G. McLEAN et a | | | |
| λ | <u>Hel</u> | · | Examiner Name | Catherine Michaele Tarae | | |
| 6 | Applicant cams small entity status. See 37 CFR 1.27 | | Art Unit | 3623 | | |
| | TOTAL AMOUNT OF PAYMENT | (\$)250.00 | Attorney Docket No. | 350725-991110 | | |
| | METHOD OF PAYMENT (check a | II that apply) | | | | |

| | | (*/ | | | | | |
|--|---|-----------------------|--------------------------|--------------------|-------------------|---------------------------|----------------|
| METHOD OF PAYMENT (check all that apply) | | | | | | | |
| Check Credit Card Money Order Other (please identify): | | | | | | | |
| Deposit Account | Deposit Account | Number: <u>07-189</u> | 6 | _ Deposit Accour | nt Name: DLA Pi | per Rudnick Gray | Cary US LLP |
| For the above-ider | ntified deposit | account, the Dire | ector is hereby a | uthorized to: (che | ck all that apply | <i>'</i>) | |
| Charge fee/s |) indicated bel | 0144 | Γ | Charge fee/s |) indicated help | w, except for the | a filing foo |
| Charge any a | , dditional fee(s |) or underpayme | ents of fee(s) | Credit any ov | | w, except for the | s illing lee |
| warning: Information on t information and authorization | | ecome public. Cr | edit card informati | · · · · | | form. Provide cred | lit card |
| FEE CALCULATION | (All the fees | below are du | e upon filing | or may be sub | ject to a surc | harge.) | * * |
| 1. BASIC FILING, SEA | | | | | | | |
| | FILING F | FEES | SEARCH F | FEES | . EXAMINA | TION FEES | |
| | <u>s</u> | mall Entity | | Small Entity | | Small Entity | |
| Application Type | Fee (\$) | <u>Fee (\$)</u> | <u>Fee (\$)</u> | Fee (\$) | Fee (\$) | Fee (\$) | Fees Paid (\$) |
| Utility | 300 | 150 | 500 | 250 | 200 | 100 | |
| Design | 200 | 100 | 100 | 50 | 130 | 65 | |
| Plant | 200 | 100 | · 300 | 150 | 160 | 80 | |
| Reissue | 300 | 150 | 500 | 250 | 600 | 300 | |
| Provisional | 200 | 100 | 0 | 0 | 0 | 0 | <u> </u> |
| 2. EXCESS CLAIM FE | ES | | | | | <u>s</u> | mall Entity |
| Fee Description | | | | | | Fee (\$) | Fee (\$) |
| Each claim over 20 (in | | | | | | 50 | 25 |
| Each independent clain | | luding Reissue | es) | | | 200 | 100 |
| Multiple dependent cla | | | (A) 5 - D | | | 360 | 180 |
| <u>Total Claims</u> - 20 or HF | Extra Cla | <u>ims</u> <u>Fee</u> | (\$) <u>Fees Pa</u> = | <u>ia (\$)</u> | | Multiple Depe Fee (\$) | Fee Paid (\$) |
| HP = highest number of total of | | f greater than 20 | | | | <u> </u> | <u> </u> |
| Indep. Claims | Extra Cla | _ | (\$) Fees Pa | id (\$) | , | | |
| - 3 or HP | = | x | = | | | | |
| HP = highest number of indep | HP = highest number of independent claims paid for, if greater than 3 | | | | | | |
| 3. APPLICATION SIZE FEE | | | | | | | |
| If the specification and drawings exceed 100 sheets of paper (excluding electronically filed sequence or computer | | | | | | | |
| listings under 37 CFR 1.52(e)), the application size fee due is \$250 (\$125 for small entity) for each additional 50 | | | | | | | |
| sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s). Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) | | | | | | | |
| Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$) - 100 = /50= (round up to a whole number) x = | | | | | | | |
| 4. OTHER FEE(S) | | | | | | | |
| , , | Non-English Specification, \$130 fee (no small entity discount) | | | | | | |
| Other (e.g., late fil | • | • | • | • | | | 250.00 |
| · · · · · · · · · · · · · · · · · · · | | | | | | | |

| SUBMITTED BY | . 4 | | |
|------------------|------------------|--|--------------------------|
| Signature | DOUM | Registration No. 43,465 (Attorney/Agent) | Telephone (650) 833-2052 |
| Name (Print/Type | David L. Alberti | | Date April 16, 2006 |

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer. U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

AmericanLegalN www.USCourtFo

Approved for use through 07/31/2006. OMB 0651-0031

APR 1 9 2007 U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Under the Paperwo

CE OF APPEAL FROM THE EXAMINER TO

Docket Number (Optional) 350725-991110

| THE BOARD OF PATENT APPEALS AND INTERFER | ENCES | | | | | | | |
|--|--|---|-----------------|--|--|--|--|--|
| I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to | In re Application of Robert I.G. McLEAN et al. | | | | | | | |
| "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450"[37 CFR 1.8(a)] | Application Number 09/586,722 | Filed June 5,2000 | | | | | | |
| on April 16, 2007 Signature Sosa a. Cavelolas | | em and Method that Provides sive User Interface for Analysi Business Enterprise | | | | | | |
| Typed or printed name Rosa A. Caviedes | Art Unit 3623 | Examiner Catherine Michelle T | агае | | | | | |
| Applicant hereby appeals to the Board of Patent Appeals and Interference | es from the last decision of the | examiner. | | | | | | |
| The fee for this Notice of Appeal is (37 CFR 41.20 (b)(1)) | | \$500.00 | | | | | | |
| Applicant claims small entity status. See 37 CFR 1.27. Therefore, the by half, and the resulting fee is: A check in the amount of the fee is enclosed, | he fee shown above is reduced | \$ 250.00 | | | | | | |
| Payment by credit card. Form PTO-2038 is attached. | | | | | | | | |
| The Director has already been authorized to charge fees in this apply have enclosed a duplicate copy of this sheet. | The Director has already been authorized to charge fees in this application to a Deposit Account. I have enclosed a duplicate copy of this sheet. | | | | | | | |
| | _ | | | | | | | |
| A petition for an extension of time under 37 CFR 1 .136(a) (PTO/SB/22) is enclosed. | | | | | | | | |
| WARNING: Information on this form may become public. Credibe included on this form. Provide credit card information and a | | ot 1 | | | | | | |
| I am the | | | | | | | | |
| applicant/inventor. | DU DU | Signature | | | | | | |
| assignee of record of the entire interest. See 37 CFR 3.71. Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96) | David Alberti | yped or printed name | <u>ი</u> | | | | | |
| attorney or agent of record. | | • | 09586722 | | | | | |
| Registration number 43,465. | 650-833-2052 | Telephone number | | | | | | |
| attorney or agent acting under 37 CFR 1.34. | 1/ | | 189 | | | | | |
| Registration number if acting under 37 CFR 1.34. | April / 6 , 2007 | Date | 0 0 | | | | | |
| NOTE: Signatures of all the inventors or assignees of record of the entire Submit multiple forms if more than one signature is required, see below | e interest or their representativ | | 00000052 071896 | | | | | |
| ★Total of 5 forms are submitted. | | | ESTA. | | | | | |
| | | | <u>بر</u> | | | | | |

This collection of information is required by 37 CFR 41.31. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11, 1.14 and 41.6. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. An comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office. U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.